

## ***Portfolio Manager Evaluation Checklist***

As you read the prospectus, review sales literature, and discuss the fund with representatives of the investment company, attempt to gather enough information to complete this checklist.

Name of Fund \_\_\_\_\_ Date \_\_\_\_\_

	<b>Yes</b>	<b>No</b>	<b>Comments</b>
1. The fund's top management team has substantial experience managing portfolios.	( )	( )	_____
2. Top management's experience has been with funds of similar size.	( )	( )	_____
3. Top management has experience with funds with similar investment objectives.	( )	( )	_____
4. Top management has substantial experience managing investment portfolios with equivalent investment strategies involving industries and or companies included in the current portfolio.	( )	( )	_____
5. Top management's previous achievements are similar to the fund's expected results.	( )	( )	_____
6. The fund's investment objectives are compatible my investment objectives and tolerance for risk.	( )	( )	_____
7. The fund's investment strategies allow adequate flexibility.	( )	( )	_____

Material provided by Michael P. Griffin.